

## ANNEXURE - IV

## FORM NO. MGT-9

Extract of annual return as on the financial year ended on 31st March, 2018 [Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

## I. REGISTRATION AND OTHER DETAILS :

|      |   |   |
|------|---|---|
| i)   | CIN   | L74140WB1996PLC081521   |
| ii)  | Registration Date   | 13th September, 1996  |
| iii) | Name of the Company   | SHALIMAR WIRES INDUSTRIES LIMITED   |
| iv)  | Category/Sub-Category of the Company                                      | Public Limited Company  |
| v)   | Address of the Registered office and contact details                      | 25, Ganesh Chandra Avenue, 2nd Floor,<br>Kolkata-700013, Phone : 033-2234-9308/09/10<br>Fax : 033-2211-6880<br>Email : kejriwal@shalimarwires.com<br>Website : www.shalimarwires.com      |
| vi)  | Whether listed company or not   | YES   |
| vii) | Name, Address and contact details of Registrar and Transfer Agent, if any | Maheshwari Datamatics Private Limited<br>23, R.N. Mukherjee Road (5th Floor)<br>Kolkata-700 001<br>Phone : 033-22482248, 2243-5029<br>Fax : 033-22484787<br>E-mail : mdpl@cal.vsnl.net.in |

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the Company shall be stated:

| Sl. No | Name and Description of main products/ services | NIC Code of the Product/ service | % to total turnover of the company |
|--------|---|----------------------------------|------------------------------------|
| 1.     | Synthetic Forming Fabric                        | 3548                             | 65%                                |
| 2.     | Metal Fourdrinier Wire Cloth                    | 3313                             | 23%                                |
| 3.     | EDM Wire  | 3333                             | 12%                                |

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

| S. No          | Name and Address of the Company | CIN/GLN | HOLDING/ SUBSIDIARY/ ASSOCIATE | % of shares held | Applicable Section |
|----------------|---------------------------------|---------|--------------------------------|------------------|--------------------|
| NOT APPLICABLE |                                 |         |                                |                  |                    |

## IV. i) SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

Category-wise Share Holding

| Category of Shareholders | No of Shares held at the beginning of the year<br>[As on 01/Apr/2017] |          |                 |                   | No of Shares held at the end of the year<br>[As on 31/Mar/2018] |          |                 |                   | % change during the Year |
|--------------------------|---|----------|-----------------|-------------------|---|----------|-----------------|-------------------|--------------------------|
|                          | Demat   | Physical | Total           | % of Total Shares | Demat   | Physical | Total           | % of Total Shares |                          |
| <b>A. Promoters</b>      |   |          |                 |                   |   |          |                 |                   |                          |
| <b>(1) Indian</b>        |   |          |                 |                   |   |          |                 |                   |                          |
| a) Individual/ HUF       | 12696169  | 0        | 12696169        | 33.7618           | 12696169  | 0        | 12696169        | 29.6951           | -4.0667                  |
| b) Central Govt          |   |          |                 |                   |   |          |                 |                   |                          |
| c) State Govt(s)         |   |          |                 |                   |   |          |                 |                   |                          |
| d) Bodies Corp.          | 15127813  | 0        | 15127813        | 40.2281           | 15127813  | 0        | 15127813        | 35.3825           | -4.8456                  |
| e) Banks/Fi              |   |          |                 |                   |   |          |                 |                   |                          |
| f) Any other             |   |          |                 |                   |   |          |                 |                   |                          |
| <b>Sub-total (A)(1)</b>  | <b>27823982</b>   | <b>0</b> | <b>27823982</b> | <b>73.9899</b>    | <b>27823982</b>   | <b>0</b> | <b>27823982</b> | <b>65.0776</b>    | <b>-8.9123</b>           |



| Category of Shareholders                         | No of Shares held at the beginning of the year<br>[As on 01/Apr/2017] |          |          |                   | No of Shares held at the end of the year<br>[As on 31/Mar/2018] |          |          |                   | % change during the Year |
|--|---|----------|----------|-------------------|---|----------|----------|-------------------|--------------------------|
|  | Demat   | Physical | Total    | % of Total Shares | Demat   | Physical | Total    | % of Total Shares |                          |
| Domestic Corporate Unclaimed Shares Account      |   |          |          |                   |   |          |          |                   |                          |
| Investor Education and Protection Fund Authority |   |          |          |                   |   |          |          |                   |                          |
| Sub-total(B)(2):-                                | 8499178   | 507018   | 9006196  | 23.9493           | 8509314   | 5646907  | 14156221 | 33.1099           | 9.1606                   |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)     | 9260679   | 520462   | 9781141  | 26.0101           | 9270790   | 5660351  | 14931141 | 34.9224           | 8.9123                   |
| C. Shares held by Custodian for GDRs & ADRs      |   |          |          |                   |   |          |          |                   |                          |
| Grand Total (A+B+C)                              | 37084661  | 520462   | 37605123 | 100.0000          | 37094772  | 5660351  | 42755123 | 100.0000          | 0.0000                   |

ii) Shareholding of Promoters-

| Sl No | Shareholder's Name                 | Shareholding at the beginning of the year [As on 01/Apr/2017] |                                  |  | Shareholding at the end of the year [As on 31/Mar/2018] |                                  |  | % change in share holding during the Year |
|-------|------------------------------------|---|----------------------------------|--|---|----------------------------------|--|---|
|       |                                    | No. of Shares   | % of total Shares of the Company | % of Shares Pledged / encumbered to total shares | No. of Shares   | % of total Shares of the Company | % of Shares Pledged / encumbered to total shares |   |
| 1     | SUNITA KHAITAN                     | 10118900  | 26.9083                          | 100.0000   | 10118900  | 23.6671                          | 100.0000   | -3.2412                                   |
| 2     | RELIANCE SHEET WORKS PVT LTD       | 7251481   | 19.2832                          | 100.0000   | 7251481   | 16.9605                          | 100.0000   | -2.3227                                   |
| 3     | AMIT COMMERCIAL CO LTD             | 7230132   | 19.2265                          | 100.0000   | 7230132   | 16.9106                          | 100.0000   | -2.3159                                   |
| 4     | VEDANT KHAITAN                     | 1000408   | 2.6603                           | 100.0000   | 1000408   | 2.3399                           | 100.0000   | -0.3204                                   |
| 5     | UMAESH KUMAR KHAITAN               | 306074  | 0.8139                           | 100.0000   | 306074  | 0.7159                           | 100.0000   | -0.0980                                   |
| 6     | SUMANGLA INVESMENT COMPANY LTD     | 280992  | 0.7472                           | 100.0000   | 280992  | 0.6572                           | 100.0000   | -0.0900                                   |
| 7     | SUNIL KUMAR KHAITAN                | 274662  | 0.7304                           | 100.0000   | 274662  | 0.6424                           | 100.0000   | -0.0880                                   |
| 8     | SUDHIR KUMAR KHAITAN               | 274461  | 0.7299                           | 100.0000   | 274461  | 0.6419                           | 100.0000   | -0.0880                                   |
| 9     | ANIL KUMAR KHAITAN                 | 233450  | 0.6208                           | 100.0000   | 233450  | 0.5460                           | 100.0000   | -0.0748                                   |
| 10    | ESQUIRE ENGINEERING LTD            | 161547  | 0.4296                           | 100.0000   | 161547  | 0.3778                           | 100.0000   | -0.0518                                   |
| 11    | SARITA KHAITAN                     | 159915  | 0.4252                           | 100.0000   | 159915  | 0.3740                           | 100.0000   | -0.0512                                   |
| 12    | RASHMI KHAITAN                     | 118640  | 0.3155                           | 100.0000   | 118640  | 0.2775                           | 100.0000   | -0.0380                                   |
| 13    | SHALIMAR HOLDINGS LTD              | 112343  | 0.2987                           | 100.0000   | 112343  | 0.2628                           | 100.0000   | -0.0359                                   |
| 14    | SITA DEVI KHAITAN                  | 88512   | 0.2354                           | 100.0000   | 88512   | 0.2070                           | 100.0000   | -0.0284                                   |
| 15    | SAM TUL INVESTMENTS LTD            | 87328   | 0.2322                           | 100.0000   | 87328   | 0.2043                           | 100.0000   | -0.0279                                   |
| 16    | SASHI PRABHA KHAITAN               | 67193   | 0.1787                           | 100.0000   | 67193   | 0.1572                           | 100.0000   | -0.0215                                   |
| 17    | KAVITA KHAITAN                     | 53954   | 0.1435                           | 100.0000   | 53954   | 0.1262                           | 100.0000   | -0.0173                                   |
| 18    | AGRO CHEMICALS AND FERTILIZERS LTD | 3990  | 0.0106                           | 100.0000   | 3990  | 0.0093                           | 100.0000   | -0.0013                                   |
|       | <b>TOTAL</b>                       | <b>27823982</b>   | <b>73.9899</b>                   | <b>100.0000</b>                                  | <b>27823982</b>   | <b>65.0775</b>                   | <b>100.0000</b>                                  | <b>-8.9124</b>                            |

iii) Change in Promoters' Shareholding (please specify, if there is no change)

| Sl. No. | Name                                | Shareholding at the beginning [01/Apr/17]/end of the year [31/Mar/18] |                                  | Cumulative Shareholding during the year [01/Apr/17 to 31/Mar/18] |                                  |
|---------|-------------------------------------|---|----------------------------------|--|----------------------------------|
|         |                                     | No. of shares   | % of total shares of the company | No. of shares  | % of total shares of the company |
| 1       | <b>ESQUIRE ENGINEERING LTD</b>      |   |                                  |  |                                  |
|         | 4/1/2017                            | 161547  | 0.4296                           |  |                                  |
|         | 3/31/2018                           | 161547  | 0.3778                           | 161547   | 0.3778                           |
| 2       | <b>RELIANCE SHEET WORKS PVT LTD</b> |   |                                  |  |                                  |
|         | 4/1/2017                            | 7251481   | 19.2832                          |  |                                  |
|         | 3/31/2018                           | 7251481   | 16.9605                          | 7251481  | 16.9605                          |

| Sl. No. | Name                                      | Shareholding at the beginning [01/Apr/17]/end of the year [31/Mar/18] |                                  | Cumulative Shareholding during the year [01/Apr/17 to 31/Mar/18] |                                  |
|---------|---|---|----------------------------------|--|----------------------------------|
|         |   | No. of shares   | % of total shares of the company | No. of shares  | % of total shares of the company |
| 3       | <b>SAM TUL INVESTMENTS LTD</b>            |   |                                  |  |                                  |
|         | 4/1/2017                                  | 87328   | 0.2322                           |  |                                  |
|         | 3/31/2018                                 | 87328   | 0.2043                           | 87328  | 0.2043                           |
| 4       | <b>SHALIMAR HOLDINGS LTD</b>              |   |                                  |  |                                  |
|         | 4/1/2017                                  | 112343  | 0.2987                           |  |                                  |
|         | 3/31/2018                                 | 112343  | 0.2628                           | 112343   | 0.2628                           |
| 5       | <b>AMIT COMMERCIAL CO LTD</b>             |   |                                  |  |                                  |
|         | 4/1/2017                                  | 7230132   | 19.2265                          |  |                                  |
|         | 3/31/2018                                 | 7230132   | 16.9106                          | 7230132  | 16.9106                          |
| 6       | <b>AGRO CHEMICALS AND FERTILIZERS LTD</b> |   |                                  |  |                                  |
|         | 4/1/2017                                  | 3990  | 0.0106                           |  |                                  |
|         | 3/31/2018                                 | 3990  | 0.0093                           | 3990   | 0.0093                           |
| 7       | <b>SUMANGLA INVESMENT COMPANY LTD</b>     |   |                                  |  |                                  |
|         | 4/1/2017                                  | 280992  | 0.7472                           |  |                                  |
|         | 3/31/2018                                 | 280992  | 0.6572                           | 280992   | 0.6572                           |
| 8       | <b>SUNIL KUMAR KHAITAN</b>                |   |                                  |  |                                  |
|         | 4/1/2017                                  | 274662  | 0.7304                           |  |                                  |
|         | 3/31/2018                                 | 274662  | 0.6424                           | 274662   | 0.6424                           |
| 9       | <b>ANIL KUMAR KHAITAN</b>                 |   |                                  |  |                                  |
|         | 4/1/2017                                  | 233450  | 0.6208                           |  |                                  |
|         | 3/31/2018                                 | 233450  | 0.5460                           | 233450   | 0.5460                           |
| 10      | <b>RASHMI KHAITAN</b>                     |   |                                  |  |                                  |
|         | 4/1/2017                                  | 118640  | 0.3155                           |  |                                  |
|         | 3/31/2018                                 | 118640  | 0.2775                           | 118640   | 0.2775                           |
| 11      | <b>SUDHIR KUMAR KHAITAN</b>               |   |                                  |  |                                  |
|         | 4/1/2017                                  | 274461  | 0.7299                           |  |                                  |
|         | 3/31/2018                                 | 274461  | 0.6419                           | 274461   | 0.6419                           |
| 12      | <b>UMAESH KUMAR KHAITAN</b>               |   |                                  |  |                                  |
|         | 4/1/2017                                  | 306074  | 0.8139                           |  |                                  |
|         | 3/31/2018                                 | 306074  | 0.7159                           | 306074   | 0.7159                           |
| 13      | <b>SARITA KHAITAN</b>                     |   |                                  |  |                                  |
|         | 4/1/2017                                  | 159915  | 0.4252                           |  |                                  |
|         | 3/31/2018                                 | 159915  | 0.3740                           | 159915   | 0.3740                           |
| 14      | <b>SUNITA KHAITAN</b>                     |   |                                  |  |                                  |
|         | 4/1/2017                                  | 10118900  | 26.9083                          |  |                                  |
|         | 3/31/2018                                 | 10118900  | 23.6671                          | 10118900   | 23.6671                          |
| 15      | <b>SITA DEVI KHAITAN</b>                  |   |                                  |  |                                  |
|         | 4/1/2017                                  | 88512   | 0.2354                           |  |                                  |
|         | 3/31/2018                                 | 88512   | 0.2070                           | 88512  | 0.2070                           |
| 16      | <b>KAVITA KHAITAN</b>                     |   |                                  |  |                                  |
|         | 4/1/2017                                  | 53954   | 0.1435                           |  |                                  |
|         | 3/31/2018                                 | 53954   | 0.1262                           | 53954  | 0.1262                           |
| 17      | <b>VEDANT KHAITAN</b>                     |   |                                  |  |                                  |
|         | 4/1/2017                                  | 1000408   | 2.6603                           |  |                                  |
|         | 3/31/2018                                 | 1000408   | 2.3399                           | 1000408  | 2.3399                           |
| 18      | <b>SASHI PRABHA KHAITAN</b>               |   |                                  |  |                                  |
|         | 4/1/2017                                  | 67193   | 0.1787                           |  |                                  |
|         | 3/31/2018                                 | 67193   | 0.1572                           | 67193  | 0.1572                           |

iv) Shareholding Pattern of top ten Shareholders

(Other than Directors, Promoters and Holders of GDRs and ADRs):

| Sl No | Name  | Shareholding at the beginning [01/Apr/17]/end of the year [31/Mar/18] |                                  | Cumulative Shareholding during the year [01/Apr/17 to 31/Mar/18] |                                  |
|-------|---|---|----------------------------------|--|----------------------------------|
|       |   | No. of shares   | % of total shares of the company | No. of shares  | % of total shares of the company |
| 1     | <b>NETWORTH HOLDINGS PVT LTD</b>                    |   |                                  |  |                                  |
|       | 01-04-2017  | 11535   | 0.0307                           |  |                                  |
|       | 31-03-2018  | 11535   | 0.0270                           | 11535  | 0.0270                           |
| 2     | <b>BANK OF INDIA</b>                                |   |                                  |  |                                  |
|       | 01-04-2017  | 11383   | 0.0303                           |  |                                  |
|       | 31-03-2018  | 11383   | 0.0266                           | 11383  | 0.0266                           |
| 3     | <b>ADROIT TRADELINK PRIVATE LIMITED</b>             |   |                                  |  |                                  |
|       | 01-04-2017  | 158549  | 0.4216                           |  |                                  |
|       | 31-03-2018  | 158549  | 0.3708                           | 158549   | 0.3708                           |
| 4     | <b>LIFE INSURANCE CORPORATION OF INDIA</b>          |   |                                  |  |                                  |
|       | 01-04-2017  | 671950  | 1.7869                           |  |                                  |
|       | 31-03-2018  | 671950  | 1.5716                           | 671950   | 1.5716                           |
| 5     | <b>RAJPUTANA INVESTMENT SOCIETY PRIVATE LIMITED</b> |   |                                  |  |                                  |
|       | 01-04-2017  | 172324  | 0.4582                           |  |                                  |
|       | 31-03-2018  | 172324  | 0.4030                           | 172324   | 0.4030                           |
| 6     | <b>THE ORIENTAL INSURANCE COMPANY LIMITED</b>       |   |                                  |  |                                  |
|       | 01-04-2017  | 89026   | 0.2367                           |  |                                  |
|       | 31-03-2018  | 89026   | 0.2082                           | 89026  | 0.2082                           |
| 7     | <b>FOUNTAIN VANIJYA PRIVATE LIMITED</b>             |   |                                  |  |                                  |
|       | 01-04-2017  | 100000  | 0.2659                           |  |                                  |
|       | 31-03-2018  | 100000  | 0.2339                           | 100000   | 0.2339                           |
| 8     | <b>MAGADH TRADERS LTD</b>                           |   |                                  |  |                                  |
|       | 01-04-2017  | 104245  | 0.2772                           |  |                                  |
|       | 31-03-2018  | 104245  | 0.2438                           | 104245   | 0.2438                           |
| 9     | <b>NIHARIKA INDIA LTD *</b>                         |   |                                  |  |                                  |
|       | 01-04-2017  | 74643   | 0.1985                           |  |                                  |
|       | 31-03-2018  | 74643   | 0.1746                           | 74643  | 0.1746                           |
| 10    | <b>BMA WEALTH CREATORS LTD.</b>                     |   |                                  |  |                                  |
|       | 01-04-2017  | 27493   | 0.0731                           |  |                                  |
|       | 12/05/2017 - Transfer                               | -200  | 0.0005                           | 27293  | 0.0726                           |
|       | 28/07/2017 - Transfer                               | -100  | 0.0003                           | 27193  | 0.0723                           |
|       | 04/08/2017 - Transfer                               | 50  | 0.0001                           | 27243  | 0.0724                           |
|       | 18/08/2017 - Transfer                               | 550   | 0.0015                           | 27793  | 0.0739                           |
|       | 01/09/2017 - Transfer                               | -700  | 0.0019                           | 27093  | 0.0720                           |
|       | 22/09/2017 - Transfer                               | 5281  | 0.0140                           | 32374  | 0.0861                           |
|       | 13/10/2017 - Transfer                               | 2551  | 0.0068                           | 34925  | 0.0929                           |
|       | 20/10/2017 - Transfer                               | -4751   | 0.0126                           | 30174  | 0.0802                           |
|       | 27/10/2017 - Transfer                               | -2000   | 0.0053                           | 28174  | 0.0749                           |
|       | 05/01/2018 - Transfer                               | -8426   | 0.0224                           | 19748  | 0.0525                           |
|       | 16/02/2018 - Transfer                               | 1000  | 0.0027                           | 20748  | 0.0552                           |
|       | 23/02/2018 - Transfer                               | -1000   | 0.0027                           | 19748  | 0.0525                           |
|       | 02/03/2018 - Transfer                               | 414   | 0.0011                           | 20162  | 0.0536                           |

| Sl No                 | Name  | Shareholding at the beginning [01/Apr/17]/end of the year [31/Mar/18] |                                  | Cumulative Shareholding during the year [01/Apr/17 to 31/Mar/18] |                                  |  |
|-----------------------|---|---|----------------------------------|--|----------------------------------|--|
|                       |   | No. of shares   | % of total shares of the company | No. of shares  | % of total shares of the company |  |
| 11                    | 09/03/2018 - Transfer                               | 696   | 0.0019                           | 20858  | 0.0555                           |  |
|                       | 16/03/2018 - Transfer                               | -1110   | 0.0030                           | 19748  | 0.0525                           |  |
|                       | 31-03-2018  | 19748   | 0.0462                           | 19748  | 0.0462                           |  |
|                       | <b>MANGLAM INDIA LIMITED</b>                        |   |                                  |  |                                  |  |
| 12                    | 01-04-2017  | 165702  | 0.4406                           |  |                                  |  |
|                       | 31-03-2018  | 165702  | 0.3876                           | 165702   | 0.3876                           |  |
|                       | <b>DHM TRADING PVT LTD</b>                          |   |                                  |  |                                  |  |
| 13                    | 01-04-2017  | 102964  | 0.2738                           |  |                                  |  |
|                       | 31-03-2018  | 102964  | 0.2408                           | 102964   | 0.2408                           |  |
|                       | <b>ASSET RECONSTRUCTION COMPANY (INDIA) LIMITED</b> |   |                                  |  |                                  |  |
| 14                    | 01-04-2017  | 5950000   | 15.8223                          |  |                                  |  |
|                       | 31/03/2018 - Transfer                               | 5150000   | 12.0453                          | 11100000   | 25.9618                          |  |
|                       | <b>ARUN KUMAR DALMIA</b>                            |   |                                  |  |                                  |  |
| 15                    | 01-04-2017  | 39198   | 0.1042                           |  |                                  |  |
|                       | 02/06/2017 - Transfer                               | 28  | 0.0001                           | 39226  | 0.1043                           |  |
|                       | 08/12/2017 - Transfer                               | -1000   | 0.0027                           | 38226  | 0.1017                           |  |
|                       | 15/12/2017 - Transfer                               | -1000   | 0.0027                           | 37226  | 0.0990                           |  |
|                       | 29/12/2017 - Transfer                               | -3607   | 0.0096                           | 33619  | 0.0894                           |  |
|                       | 31-03-2018  | 33619   | 0.0786                           | 33619  | 0.0786                           |  |
|                       |   | <b>HARI SHANKER ALMAL</b>   |                                  |  |                                  |  |
|                       | 01-04-2017  | 0   | 0.0000                           |  |                                  |  |
|                       | 26/05/2017 - Transfer                               | 7719  | 0.0205                           | 7719   | 0.0205                           |  |
|                       | 02/06/2017 - Transfer                               | 782   | 0.0021                           | 8501   | 0.0226                           |  |
|                       | 25/08/2017 - Transfer                               | 709   | 0.0019                           | 9210   | 0.0245                           |  |
|                       | 20/10/2017 - Transfer                               | 650   | 0.0017                           | 9860   | 0.0262                           |  |
|                       | 27/10/2017 - Transfer                               | 2500  | 0.0066                           | 12360  | 0.0329                           |  |
| 03/11/2017 - Transfer | -1391   | 0.1210  | 10969                            | 0.9542   |                                  |  |
| 10/11/2017 - Transfer | 4210  | 0.0112  | 15179                            | 0.0404   |                                  |  |
| 17/11/2017 - Transfer | 1002  | 0.0027  | 16181                            | 0.0430   |                                  |  |
| 05/01/2018 - Transfer | -2971   | 0.0079  | 13210                            | 0.0351   |                                  |  |
| 12/01/2018 - Transfer | -4000   | 0.0106  | 9210                             | 0.0245   |                                  |  |
| 31-03-2018            | 9210  | 0.0215  | 9210                             | 0.0215   |                                  |  |
|                       | <b>MANOJ AGARWAL #</b>                              |   |                                  |  |                                  |  |
| 16                    | 01-04-2017  | 114645  | 0.3049                           |  |                                  |  |
|                       | 18/08/2017 - Transfer                               | -6000   | 0.0160                           | 108645   | 0.2889                           |  |
|                       | 25/08/2017 - Transfer                               | -14000  | 0.0372                           | 94645  | 0.2517                           |  |
|                       | 13/10/2017 - Transfer                               | -7000   | 0.0186                           | 87645  | 0.2331                           |  |
|                       | 20/10/2017 - Transfer                               | -15845  | 0.0421                           | 71800  | 0.1909                           |  |
|                       | 27/10/2017 - Transfer                               | -21800  | 0.0580                           | 50000  | 0.1330                           |  |
|                       | 03/11/2017 - Transfer                               | -2400   | 0.2088                           | 47600  | 4.1407                           |  |
|                       | 08/12/2017 - Transfer                               | -25000  | 0.0665                           | 22600  | 0.0601                           |  |
|                       | 15/12/2017 - Transfer                               | -19714  | 0.0524                           | 2886   | 0.0077                           |  |
|                       | 22/12/2017 - Transfer                               | -500  | 0.0013                           | 2386   | 0.0063                           |  |
|                       | 31-03-2018  | 2386  | 0.0056                           | 2386   | 0.0056                           |  |

| Sl No      | Name                                 | Shareholding at the beginning [01/Apr/17]/end of the year [31/Mar/18] |                                  | Cumulative Shareholding during the year [01/Apr/17 to 31/Mar/18] |                                  |
|------------|--------------------------------------|---|----------------------------------|--|----------------------------------|
|            |                                      | No. of shares   | % of total shares of the company | No. of shares  | % of total shares of the company |
| 17         | <b>MAYANK ALMAL</b>                  |   |                                  |  |                                  |
|            | 01-04-2017                           | 0   | 0.0000                           |  |                                  |
|            | 02/06/2017 - Transfer                | 6250  | 0.0166                           | 6250   | 0.0166                           |
|            | 11/08/2017 - Transfer                | 990   | 0.0026                           | 7240   | 0.0193                           |
|            | 25/08/2017 - Transfer                | 2424  | 0.0064                           | 9664   | 0.0257                           |
|            | 01/09/2017 - Transfer                | 336   | 0.0009                           | 10000  | 0.0266                           |
|            | 08/09/2017 - Transfer                | 500   | 0.0013                           | 10500  | 0.0279                           |
|            | 22/09/2017 - Transfer                | 1000  | 0.0027                           | 11500  | 0.0306                           |
|            | 27/10/2017 - Transfer                | 3000  | 0.0080                           | 14500  | 0.0386                           |
|            | 10/11/2017 - Transfer                | 1000  | 0.0027                           | 15500  | 0.0412                           |
|            | 05/01/2018 - Transfer                | -5500   | 0.0146                           | 10000  | 0.0266                           |
|            | 12/01/2018 - Transfer                | -10000  | 0.0266                           | 0  | 0.0000                           |
| 31-03-2018 | 0                                    | 0.0000  | 0                                | 0.0000   |                                  |
| 18         | <b>JAYESHKUMAR PANCHABHAI SATANI</b> |   |                                  |  |                                  |
|            | 01-04-2017                           | 36956   | 0.0983                           |  |                                  |
|            | 31-03-2018                           | 36956   | 0.0864                           | 36956  | 0.0864                           |
| 19         | <b>PRIVANK ALMAL</b>                 |   |                                  |  |                                  |
|            | 01-04-2017                           | 0   | 0.0000                           |  |                                  |
|            | 02/06/2017 - Transfer                | 2050  | 0.0055                           | 2050   | 0.0055                           |
|            | 09/06/2017 - Transfer                | 270   | 0.0007                           | 2320   | 0.0062                           |
|            | 11/08/2017 - Transfer                | 829   | 0.0022                           | 3149   | 0.0084                           |
|            | 18/08/2017 - Transfer                | 387   | 0.0010                           | 3536   | 0.0094                           |
|            | 25/08/2017 - Transfer                | 3264  | 0.0087                           | 6800   | 0.0181                           |
|            | 22/09/2017 - Transfer                | 200   | 0.0005                           | 7000   | 0.0186                           |
|            | 03/11/2017 - Transfer                | 11800   | 1.0265                           | 18800  | 1.6354                           |
|            | 08/12/2017 - Transfer                | -5800   | 0.0154                           | 13000  | 0.0346                           |
|            | 05/01/2018 - Transfer                | -3500   | 0.0093                           | 9500   | 0.0253                           |
|            | 12/01/2018 - Transfer                | -2750   | 0.0073                           | 6750   | 0.0179                           |
|            | 31-03-2018                           | 6750  | 0.0158                           | 6750   | 0.0158                           |

\* Not in the list of Top 10 shareholders as on 01/04/2017 The same has been reflected above since the shareholder was one of the Top 10 shareholders as on 31/03/2018.

# Ceased to be in the list of Top 10 shareholders as on 31/03/2018. The same is reflected above since the shareholder was one of the Top 10 shareholders as on 01/04/2017.

v) Shareholding of Directors and Key Managerial Personnel

| Sl. No. | Name                       | Shareholding at the beginning [01/Apr/16]/end of the year [31/Mar/17] |                                  | Cumulative Shareholding during the year [01/Apr/16 to 31/Mar/17] |                                  |
|---------|----------------------------|---|----------------------------------|--|----------------------------------|
|         |                            | No. of shares   | % of total shares of the company | No. of shares  | % of total shares of the company |
| 1       | <b>SUNIL KUMAR KHAITAN</b> |   |                                  |  |                                  |
|         | 01-04-2017                 | 274662  | 0.7304                           |  |                                  |
|         | 31-03-2018                 | 274662  | 0.6424                           | 274662   | 0.6424                           |

## V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/ accrued but not due for payment :

|  | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total (Rs. in lacs) |
|--|----------------------------------|-----------------|----------|---------------------|
| Indebtedness at the beginning of the financial year (1st April,2016) |                                  |                 |          |                     |
| i) Principal Amount  | 6433                             | 1851            | -        | 8284                |
| ii) Interest due but not paid  | 17668                            | -               | -        | 17668               |
| iii) Interest accrued but not due                                    | -                                | -               | -        | -                   |
| <b>Total (i+ii+iii)</b>  | <b>24101</b>                     | <b>1851</b>     | <b>-</b> | <b>25952</b>        |
| <b>Change in indebtedness during the financial year</b>              |                                  |                 |          |                     |
| Addition ( PRIN+INT)   | 1814                             | 2498            | -        | 4312                |
| Reduction (PRIN)   | 22629                            | 319             | -        | 22948               |
| <b>Net change</b>  | <b>-20815</b>                    | <b>2179</b>     | <b>-</b> | <b>-18636</b>       |
| Indebtedness at the end of the financial year (31st March,2017)      |                                  |                 |          |                     |
| i) Principal Amount  | 3105                             | 4030            | -        | 7135                |
| ii) Interest due but not paid  | 181                              | -               | -        | 181                 |
| iii) Interest accrued but not due                                    | -                                | -               | -        | -                   |
| <b>Total (i+ii+iii)</b>  | <b>3286</b>                      | <b>4030</b>     | <b>-</b> | <b>7316</b>         |

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. REMUNERATION TO MANAGING DIRECTOR, WHOLETIME DIRECTORS AND/OR MANAGER :

| Sl. no. | Particulars of Remuneration   | Name of MD/ WTD/ Manager | Total Amount (Rs.in lacs) |
|---------|---|--------------------------|---------------------------|
|         |   | <b>Mr. Sunil Khaitan</b> |                           |
| 1.      | Gross salary  |                          |                           |
|         | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 22.20                    | 22.20                     |
|         | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961                             | 19.74                    | 19.74                     |
|         | (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961              | NIL                      | NIL                       |
| 2.      | Stock Option  | NIL                      | NIL                       |
| 3.      | Sweat Equity  | NIL                      | NIL                       |
| 4.      | Commission<br>- as % of profit<br>- others, specify...                              | NIL                      | NIL                       |
| 5.      | Others, please specify  |                          |                           |
|         | <b>Total (A)</b>  | <b>41.94</b>             | <b>41.94</b>              |
|         | Ceiling as per the Act  | 42.00                    | 42.00                     |

\*Excluding Provident Fund contribution of Rs. 2.66 lacs



**B. REMUNERATION TO OTHER DIRECTORS:**

| Sl. no. | Particulars of Remuneration                   | Name of Directors   |                        | Total Amount (Rs.in Lacs) |
|---------|---|---|------------------------|---------------------------|
|         |   | Mr. Dipak Dasgupta  | Ms. Surabhi Sanganeria |                           |
| 1.      | Independent Directors                         |   |                        |                           |
|         | • Fee for attending board/ committee meetings | 1.03  | 1.03                   | 2.06                      |
|         | • Commission                                  | NIL   | NIL                    | -                         |
|         | • Others, please specify                      | NIL   | NIL                    | -                         |
|         | Total (1)                                     | 1.03  | 1.03                   | 2.06                      |
| 2.      | Other Non-Executive Directors                 | Mr. Manash Chakraborty<br>(Nominee of ARCIL)  |                        |                           |
|         | Fee for attending board committee meet        | 0.85  |                        | 0.85                      |
|         | Commission                                    | -   |                        | -                         |
|         | Others, please specify                        | -   |                        | -                         |
|         | Total (2)                                     |   |                        | 0.85                      |
|         | Total (B)=(1+2)                               |   |                        | 2.91                      |
|         | Total Managerial Remuneration                 |   |                        | 44.85                     |
|         | Overall Ceiling as per the Act                | All the independent and non-executive Directors have been paid sitting fees for attending Board meetings and Committee meetings which is well within the limits prescribed under the Companies Act, 2013. |                        |                           |

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD**

| Sl. no. | Particulars of Remuneration   | Key Managerial Personnel |                   |                    |
|---------|---|--------------------------|-------------------|--------------------|
|         |   | CFO                      | Company Secretary | Total (Rs.in lacs) |
| 1.      | Gross salary  |                          |                   |                    |
|         | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 13.25                    | 8.96              | 22.21              |
|         | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961                             | 35.42                    | 11.42             | 46.84              |
|         | (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961              | NIL                      | NIL               | NIL                |
| 2.      | Stock Option  | NIL                      | NIL               | NIL                |
| 3.      | Sweat Equity  | NIL                      | NIL               | NIL                |
| 4.      | Commission<br>- as % of profit<br>- others, specify...                              | NIL                      | NIL               | NIL                |
| 5.      | Others, please specify  | NIL                      | NIL               | NIL                |
|         | Total   | 48.67                    | 20.38             | 69.05              |

**VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES**

| Type                                | Section of the Companies Act | Brief Description | Details of Penalty/ Punishment Compounding Fees imposed | Authority [RD/ NCLT/ COURT] | Appeal Made, if any(give details) |
|-------------------------------------|------------------------------|-------------------|---|-----------------------------|-----------------------------------|
| <b>A. COMPANY</b>                   |                              |                   |   |                             |                                   |
| Penalty                             | NIL                          | ---               | NONE  | ---                         |                                   |
| Punishment                          | NIL                          | ---               | NONE  | ---                         |                                   |
| Compounding                         | NIL                          | ---               | NONE  | ---                         |                                   |
| <b>B. DIRECTORS</b>                 |                              |                   |   |                             |                                   |
| Penalty                             | NIL                          | ---               | NONE  | ---                         |                                   |
| Punishment                          | NIL                          | ---               | NONE  | ---                         |                                   |
| Compounding                         | NIL                          | ---               | NONE  | ---                         |                                   |
| <b>C. OTHER OFFICERS IN DEFAULT</b> |                              |                   |   |                             |                                   |
| Penalty                             | NIL                          | ---               | NONE  | ---                         |                                   |
| Punishment                          | NIL                          | ---               | NONE  | ---                         |                                   |
| Compounding                         | NIL                          | ---               | NONE  | ---                         |                                   |

## ANNEXURE - V

## STATEMENT OF DISCLOSURE OF REMUNERATION PURSUANT TO SECTION 197 OF THE COMPANIES ACT, 2013 READ WITH RULE 5(1) OF THE COMPANIES (APPOINTMENT AND REMUNERATION OF MANAGERIAL PERSONNEL) RULES, 2014

|       |   |                       |
|-------|---|-----------------------|
| (i)   | The ratio of the remuneration of Chairman & Managing Director to the median remuneration of the employees of the Company for the financial year :   |                       |
|       | <b>Directors</b>  | <b>Ratio</b>          |
|       | Mr. Sunil Khaitan, Chairman & Managing Director   | 1:60                  |
| (ii)  | <b>The percentage increase in remuneration of Chairman &amp; Managing Director, Chief Financial Officer and Company Secretary in the financial year :</b>   | % increase/(decrease) |
|       | Mr. Sunil Khaitan, Chairman & Managing Director   | NIL                   |
|       | Mr. S.J. Sengupta, CFO  | 115.35%               |
|       | Mr. S.K. Kejriwal, Company Secretary  | 30.38%                |
| (iii) | <b>The percentage increase in the median remuneration of employees in the financial year :</b>  | 14.30%                |
| (iv)  | The number of permanent employees on the rolls of company : (as on 31st March, 2018)  | 535                   |
| (v)   | <b>Average percentile increase already made in the salaries of employees other than the managerial personnel in the last financial year and its comparison with the percentile increase in the managerial remuneration and justification thereof and point out if there are any exceptional circumstances for increase in the managerial remuneration :</b> |                       |
|       | Average percentile increase is 14.30% in respect of all the employees except managerial personnel. The remuneration of CFO was increased because he was promoted as President & CFO during the year giving him overall responsibility of Uttarpara Unit.  |                       |
| (vi)  | <b>Affirmation that the remuneration is as per the remuneration policy of the Company</b>   |                       |
|       | The Company affirms that the remuneration paid to employees is in accordance with the Remuneration Policy of the Company.   |                       |